

Report 11.346
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File CFO/26/01/01

Committee Audit, Risk and Assurance Committee
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Legal Compliance

1. Purpose

To report to the Committee on the Council's legal compliance review.

2. The decision-making process and significance

No decision is being sought in this report.

3. Background

Earlier this year Greater Wellington undertook a legal compliance review of the major Acts that impact Greater Wellington. This review has been completed in respect of the year ending 30 June 2011 for Greater Wellington and the WRC Holdings Group (excluding CentrePort Ltd, which has undertaken its own review). The review uses a checklist which is attached. (Refer **Attachment 1**).

4. Acts

The following Acts are covered by the checklist:

- Companies Act 1993
- Financial Reporting Act 1993
- Income Tax Act 2007
- Tax Administration Act 1994
- Goods and Services Tax Act 1985`
- Kiwi Saver Act 2006
- Local Government Act 2002
- Health and Safety in Employment Act 1992
- Resource Management Act 1991
- Water Board Act 1972

- Local Government Rating Act 2002
- Land Transport Management Act 2008
- Public Transport Management Act 2008
- Civil Defence Emergency Management Act 2002
- Holidays Act 2003
- Employment Relations Act 2000
- Injury Prevention Rehabilitation and Compensation Act 2001
- Human Rights Act 1993
- Privacy Act 1993
- Biosecurity Act 1993
- Hazardous Substances and New Organisms Act 1996
- Agricultural Compounds and Veterinary Medicines Act 1997
- Arms Act 1983
- Transport Act 1962
- Animal Welfare Act 1999
- Resource Management Act 1991

The checklist was compiled from the following sources:

- CentrePort Ltd
- Audit New Zealand
- In house expertise.

5. The Process

The checklist was completed by each group and reviewed by each group manager.

6. Results of the review

There were no significant issues arising from the review.

7. Communication

No communications are necessary.

8. Recommendations

That the Committee:

1. ***Receives the report.***
2. ***Notes the content of the report.***

Report prepared by:

Report approved by:

Chris Gray
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Bruce Simpson
Chief Financial Officer

Attachment 1: Legal Compliance checklist